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Dated: 11/26/03

Signature:

(Valerie J. Sarosky)

Docket No.: ITI-P01-008
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Cheng et al.

Application No.: 10/656838

Confirmation No.:

Filed: September 5, 2003

Art Unit: N/A

For: CYCLODEXTRIN-BASED POLYMERS
FOR THERAPEUTICS DELIVERY

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed within three months of the U.S. filing date (37 CFR 1.97(b)(1)).

A summary/abstract translation of the non-English language references is enclosed.

A copy of all non-U.S. patent references on PTO/SB/08 are attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent,

publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

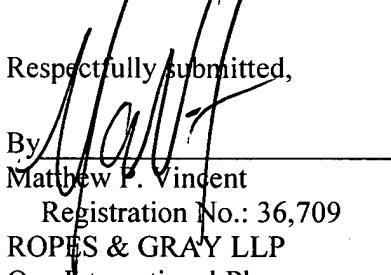
It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. ITI-P01-008.

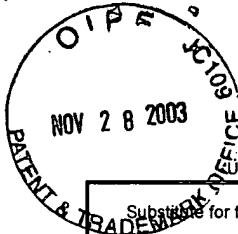
Dated: November 26, 2003

Respectfully submitted,

By


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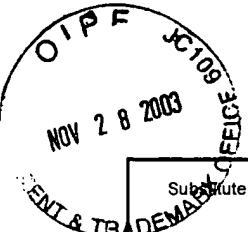
Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
Sheet	1	of	2	Application Number	10/656838
				Filing Date	September 5, 2003
				First Named Inventor	Jianjun Cheng
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	ITI-P01-008

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
AA	US-4,291,013	09-22-1981	Wahlig ET AL		
AB	US-4,347,234	08-31-1982	Wahlig ET AL		
AC	US-4,438,253	03-20-1984	Casey ET AL		
AD	US-4,525,495	06-25-1985	Dorman ET AL		
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AF	US-4,570,629	02-18-1986	Widra		
AG	US-4,572,832	02-25-1986	Kigasawa ET AL		
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AJ	US-4,652,441	03-24-1987	Okada ET AL		
AK	US-4,675,381	06-23-1987	Bichon		
AL	US-4,745,160	05-17-1988	Churchill ET AL		
AM	US-5,100,669	03-31-1992	Hyon ET AL		
AN	US-5,219,980	06-15-1993	Swidler		
AO	US-5,276,088	01-04-1994	Yoshinaga		
AP	US-5,330,768	07-19-1994	Park ET AL		
AQ	US-5,855,900	01-05-1999	Nobuhiko		
AR	US-6,509,323-B1	01-21-2003	Davis ET AL		
AS	US-2002/0151523-A1	10-17-2002	Davis ET AL		
AT	US-2003/0008818-A1	01-09-2003	Pun ET AL		
AU	US-2003/0017972-A1	01-23-2003	Pun ET AL		

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number-Kind Code ⁴ (if known)			
BA	EP 0 730 869-A1		09-11-1996	Yui, Nobuhiko	
BB	WO 93/24150-A1		12-09-1993	Zeneca Limited	
BC	WO 99/61062-A1		12-02-1999	Massachusetts Institute of Technology	
BD	WO 00/33885-A1		06-15-2000	California Institute of Technology	
BE	FR 2 665 169-A1		01-31-1992	Rhone-Poulenc Chimie (FR)	
BF	EP 0 258 780-A2		03-09-1988	American Cyanamid Company	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Date Considered
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PTO/SB/08a/b (06-03)

Approved for use through 07/31/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

				Complete if Known
Application Number				10/656838
Filing Date				September 5, 2003
First Named Inventor				Jianjun Cheng
Art Unit				N/A
Examiner Name				Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number
				ITI-P01-008

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
CA	U.S. Application No. 09/339,818, filed June 25, 1999, Davis, et al.		
CB	U.S. Application No. 09/453,707, filed December 3, 1999, Davis, et al.		
CC	U.S. Application No. 10/372,723, filed February 24, 2003, Bellocq, et al.		
CD	U.S. Application No. 60/417,373 filed October 9, 2002, Bellocq, et al.		
CE	Melton, L.D. and Slessor, K.N., Carbohydrate Research, 18, p. 29 (1971)		
CF	Mungall et al., J. Org. Chem. 1659-1662		
CG	Tabushi et al., Tetrahedron Lett. 18:1527-1530 (1977)		
CH	D. F. Williams, J. Mater. Sci. 1233 (1982)		
CI	Tabushi et al., J. Am. Chem. Soc. 106, 5267-5270 (1984)		
CJ	Tabushi et al., J. Am. Chem. Soc. 106, 4580-4584 (1984)		
CK	Hisamatsu et al., Starch 44:188-191 (1992)		
CL	Husain et al., Applied Spectroscopy, 46:652-658 (1992)		
CM	T. Cserhati, Analytical Biochemistry, 225:328-332 (1995)		
CN	Putnam, et al., Nature Med. 824-826 (1996)		
CO	Zhang et al., J. Am. Chem. Soc. (1997), 119, 1676-1681		
CP	Suh, J. and Noh, Y., Bioorg. Med. Chem. Lett. (1998), 8, 1327-1330		
CQ	Comprehensive Supramolecular Chemistry, Volume 3, J.L. Atwood et al., eds., Pergamon Press (1996)		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered
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